



Filing ID #10023751

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Max Harold Della Pia
Status: Congressional Candidate
State/District: NY23

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 05/26/2018
Period Covered: 01/01/2017– 04/30/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Alex A. Della Pia NML [WU]		\$1,001 - \$15,000	None		
Max H. & Nancy K. Della Pia JTWROS ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]	JT	\$1,001 - \$15,000	None		
Max H. & Nancy K. Della Pia JTWROS ⇒ Vanguard S&P 500 ETF (VOO) [ST]	JT	\$1,001 - \$15,000	None		
Max H. Della Pia IRA ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Amazon.com, Inc. (AMZN) [ST]		\$50,001 - \$100,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ American Express Company (AXP) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Anheuser-Busch Inbev SA Sponsored ADR (BUD) [ST]					
Max H. Della Pia IRA ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Banco Santander, S.A. Sponsored ADR (SAN) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ BASF SE American Depositary Shares (BASFY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Bayer Aktiengesellschaft American Depositary Shares (BAYRY) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ BNP Paribas American Depositary Shares (BNPQY) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Chevron Corporation (CVX) [ST]		\$1 - \$1,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Comcast Corporation - Class A (CMCSA) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Costco Wholesale Corporation (COST) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Credit Suisse FI Large Cap Growth Enhanced ETN (FLGE) [ST]		\$50,001 - \$100,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Daimler AG Sponsored ADR (DDAIY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Exxon Mobil Corporation (XOM) [ST]					
Max H. Della Pia IRA ⇒ General Electric Company (GE) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Hitachi, Ltd. American Depositary Shares (HTHIY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Home Depot, Inc. (HD) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ HSBC Holdings, plc. (HSBC) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ ING Group, N.V. (ING) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Intel Corporation (INTC) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Intesa Sanpaolo S.P.A. Sponsored ADR (ISNPY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Johnson & Johnson (JNJ) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Lloyds Banking Group Plc American Depositary Shares (LYG) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ L'Oreal Co. American Depositary Shares (LRLCY) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ LVMH Moet Hennessy Louis Vuitton Un-sponsored American Depositary Receipt (LVMUY) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merck & Company, Inc. Common Stock (MRK) [ST]					
Max H. Della Pia IRA ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Mitsubishi Electric Corp ADR (MIELY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Novartis AG (NVS) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Oracle Corporation (ORCL) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Pepsico, Inc. (PEP) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Procter & Gamble Company (PG) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Reckitt Benckiser Plc Sponsored ADR (RBGLY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Sanofi American Depositary Shares (SNY) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ SAP SE ADS (SAP) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Schlumberger N.V. (SLB) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Siemens AG American Depositary Shares (SIEGY) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Softbank Corp Unsponsored ADR (SFTBY) [ST]					
Max H. Della Pia IRA ⇒ Sumitomo Mitsui Financial Group Inc Unsponsored American Depositary Shares (SMFG) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ UBS AG UBS AG FI Enhanced Global High Yield ETN due March 3, 2026 (FIHD) [ST]		\$50,001 - \$100,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ UBS Group AG Registered Ordinary Shares (UBS) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ United Technologies Corporation (UTX) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Vinci SA ADR (VCISY) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Visa Inc. (V) [ST]		\$50,001 - \$100,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Wal-Mart Stores, Inc. (WMT) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Yaskawa Electric Corp Unsponsored ADR (YASKY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia NML [WU]		\$100,001 - \$250,000	None		
Nancy K. Della Pia IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Nancy K. Della Pia IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Apple Inc. (AAPL) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ ASML Holding N.V. - ADS represents 1 ordinary share (ASML) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Chevron Corporation (CVX) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Exxon Mobil Corporation (XOM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ FI Enhanced Europe 50 ETN (FIEU) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Novo Nordisk A/S (NVO) [ST]	SP	\$25,000,001 - \$50,000,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Pfizer, Inc. (PFE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Societe Generale France Sponsored ADR (SCGLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Softbank Corp Unsponsored ADR (SFTBY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ United Technologies Corporation (UTX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia Thrivent (SP) [WU]		\$15,001 - \$50,000	None		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
The Goat Boy, 175 Front Street, Owego, NY 13827	Spouse Income	\$2,959.59	\$8,435.40
Katies Kreations, 188 Front Street, Owego, NY 13827	Spouse Income	\$829.12	\$316.25

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Bank of America, N.A., EIN 941687665	Feb 6, 2009	Home mortgage on primary residence	\$50,001 - \$100,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member	Owego United Methodist Church Finance Committee
Member	Tioga County Anti-Hunger Task Force

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none">Max H. & Nancy K. Della Pia JTWROS (Owner: JT) LOCATION: USMax H. Della Pia IRANancy K. Della Pia IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Max Harold Della Pia , 05/26/2018